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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: RICHARD E. VOGEL AND TIMOTHY J. FOLTZ
For: CONVECTION CUP ASSEMBLY
Serial No: 09/681,099
Filed: January 4, 2001
Atty. Docket: 70254-328

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6/25/01
#2/Pre
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CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))	
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Date: <u>June 15, 2001</u>	Signature: <u>Andrea R. Wolters</u> Andrea R. Wolters (type or print name of person certifying)

Commissioner for Patents
Washington, D.C. 20231

06/21/2001 R05MAN1 00000010 180013 09681099
01 FC:103 Sir: 234.00 CH

PRELIMINARY AMENDMENT

06/25/2001 R05MAN1 00000010 180013 09681099
01 FC:102 Sir: 234.00 CH
Information in this application, kindly amend the above-identified U.S. patent application as follows:

In the Claims:

Kindly add the following new claims:

a1
39. (New) A sleeve for a confection cup assembly for mixing the ingredients for a confection, the cup assembly comprising a cup and a collar, the cup having a cup body defining a cup recess with an open top and a closed bottom, and the collar having an insert portion sized to be slidably received within the cup open top and into the cup recess, the sleeve comprising:

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TOTAL AMOUNT OF PAYMENT (\$) 234.00**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 18-0013

Deposit Account Name RADER, FISHMAN & GRAUER PLLC

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
-
- ☐
- Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
101	201	710	355	Utility filing fee	
106	206	320	160	Design filing fee	
107	207	490	245	Plant filing fee	
108	208	710	355	Reissue filing fee	
114	214	150	75	Provisional filing fee	

SUBTOTAL (1) (\$)**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
51	13	18	\$234
Independent Claims	1	80	80
Multiple Dependent			

**or number previously paid, if greater; For Reissues, see below.

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description
103	203	18	9	Claims in excess of 20
102	202	80	40	Independent claims in excess of 3
104	204	270	135	Multiple dependent claim, if not paid
109	209	80	40	**Reissue independent claims over original patent
110	210	18	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 234.00**Complete if Known**

Application Number	09/681,099
Filing Date	January 4, 2001
First Named Inventor	Vogel, Richard E.
Examiner Name	
Group Art Unit	3727
Attorney Docket No.	70254-328

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
105	205	130	65	Surcharge - late filing fee or oath	
127	227	50	25	Surcharge - late provisional filing fee or cover sheet	
139	239	130	130	Non-English specification	
147	247	2,520	2,520	For filing a request for <i>ex parte</i> reexamination	
112	212	920*	920*	Requesting publication of SIR prior to Examiner action	
113	213	1,840*	1,840*	Requesting publication of SIR after Examiner action	
115	215	110	55	Extension for reply within first month	
116	216	390	195	Extension for reply within second month	
117	217	890	445	Extension for reply within third month	
118	218	1,390	695	Extension for reply within fourth month	
128	228	1,890	945	Extension for reply within fifth month	
119	219	310	155	Notice of Appeal	
120	220	310	155	Filing a brief in support of an appeal	
121	221	270	135	Request for oral hearing	
138	238	1,510	1,510	Petition to institute a public use proceeding	
140	240	110	55	Petition to revive - unavoidable	
141	241	1,240	620	Petition to revive - unintentional	
142	242	1,240	620	Utility issue fee (or reissue)	
143	243	440	220	Design issue fee	
144	244	600	300	Plant issue fee	
122	222	130	130	Petitions to the Commissioner	
123	223	50	50	Processing fee under 37 CFR 1.17(a)	
126	226	180	180	Submission of Information Disclosure Stmt	
581	581	40	40	Recording each patent assignment per property (times number of properties)	
146	246	710	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	249	710	355	For each additional invention to be examined (37 CFR 1.129(b))	
179	279	710	355	Request for Continued Examination (RCE)	
169	269	900	900	Request for expedited examination of a design application	
Other fee (specify).....					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					(\$)

SUBMITTED BY

Name (Print/Type)	Mark A. Davis	Registration No. (Attorney/Agent)	Reg. No. 37,118	Telephone	(616) 742-3500
Signature		Date	June 15, 2001		

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